Paul F. Lazarsfeld, Bernard Berelson and Hazel Gaudet

THE PEOPLE'S CHOICE

How the voter makes up his mind in a presidential campaign (944)

THE POLITICAL HOMOGENEITY OF social groups is promoted by personal relationships among the same kinds of people. But for a detailed and systematic study of the influence of such relationships – the political role of personal influence – a systematic inventory would be needed of the various personal contacts and political discussions that people had over a sample number of days. That would provide an index of personal exposure similar to the indices of exposure to the formal media developed in previous chapters. Such complete data are not available in the present study, but enough information has been collected to indicate the importance of personal relationships so far as their direct political influence is concerned. Our findings and impressions will be summarized without much formal statistical data. The significance of this area of political behavior was highlighted by the study but further investigation is necessary to establish it more firmly.

In comparison with the formal media of communication, personal relationships are potentially more influential for two reasons: their coverage is greater and they have certain psychological advantages over the formal media.

Personal contacts reach the undecided

Whenever the respondents were asked to report on their recent exposure to campaign communications of all kinds, political discussions were mentioned more frequently than exposure to radio or print. On any average day, at least 10% more people participated in discussions about the election – either actively or passively – than listened to a major speech or read about campaign items in a newspaper. And this coverage 'bonus' came from just those people who had not yet made a final decision as to how they would vote. Political conversations, then, were more likely to reach those people who were still open to influence.

For example, people who made up their minds later in the campaign were more likely to mention personal influences in explaining how they formed their final vote

decision. Similarly, we found that the less interested people relied more on conversations and less on the formal media as sources of information. Three-fourths of the respondents who at one time had not expected to vote but were then finally 'dragged in' mentioned personal influence. After the election, the voters were given a check list of 'sources from which they got most of the information or impressions that caused them to form their judgment on how to vote. Those who had made some change during the campaign mentioned friends or members of their family relatively more frequently than did the respondents who kept a constant vote intention all through the campaign.

The two-step flow of communications

A special role in the network of personal relationships is played by the 'opinion leaders.'... we noted that they engaged in political discussion much more than the rest of the respondents. But they reported that the formal media were more effective as sources of influence than personal relationships. This suggests that ideas often flow from radio and print to the opinion leaders and from them to the less active sections of the population.

Occasionally, the more articulate people even pass on an article or point out the importance of a radio speech. Repeatedly, changers referred to reading or listening done under some personal influence. Take the case of a retired school teacher who decided for the Republicans: 'The country is ripe for a change . . . Willkie is a religious man. A friend read and highly recommended Dr. Poling's article in the October issue of the Christian Herald called "The Religion of Wendell Willkie".'

So much for the 'coverage of personal contacts.' The person-to-person influence reaches the ones who are more susceptible to change, and serves as a bridge over which formal media of communications extend their influence. But in addition, personal relationships have certain psychological advantages which make them especially effective in the exercise of the 'molecular pressures' finally leading to the political homogeneity of social groups. We turn now to a discussion of five such characteristics.

Non-purposiveness of personal contacts

The weight of personal contacts upon opinion lies, paradoxically, in their greater casualness and non-purposiveness in political matters. If we read or tune in a speech, we usually do so purposefully, and in doing so we have a definite mental set which tinges our receptiveness. Such purposive behavior is part of the broad area of our political experiences, to which we bring our convictions with a desire to test them and strengthen them by what is said. This mental set is armor against influence. The extent to which people, and particularly those with strong partisan views, listen to speakers and read articles with which they agree in advance is evidence on this point.

On the other hand, people we meet for reasons other than political discussion are more likely to catch us unprepared, so to speak, if they make politics the topic. One can avoid newspaper stories and radio speeches simply by making a slight effort, but as the campaign mounts and discussion intensifies, it is hard to avoid some talk of

politics. Personal influence is more pervasive and less self-selective than the formal media. In short, politics gets through, especially to the indifferent, much more easily through personal contacts than in any other way, simply because it comes up unexpectedly as a sideline or marginal topic in a casual conversation. For example, there was the restaurant waitress who decided that Willkie would make a poor president after first thinking he would be good. Said she: 'I had done a little newspaper reading against Willkie, but the real reason I changed my mind was from hearsay. So many people don't like Willkie. Many customers in the restaurant said Willkie would be no good.' Notice that she was in a position to overhear bits of conversation that were not intended for her. There are many such instances. Talk that is 'forbidden fruit' is particularly effective because one need not be suspicious as to the persuasive intentions of the speakers; as a result one's defenses are down. Furthermore, one may feel that he is getting the viewpoint of 'people generally,' that he is learning how 'different people' think about the election.

Such passive participation in conversation is paralleled in the case of the formal media by accidental exposure, e.g., when a political speech is heard because it follows a favorite program. In both conversation and the formal media, such chance communication is particularly effective. And the testimony to such influence is much more frequent in the case of personal contacts. The respondents mentioned it time and again: 'I've heard fellows talk at the plant . . . I hear men talk at the shop . . . My husband heard that talked about at work . . .'

Flexibility when countering resistance

But suppose we do meet people who want to influence us and suppose they arouse our resistance. Then personal contact still has one great advantage compared with other media: the face-to-face contact can counter and dislodge such resistance, for it is much more flexible. The clever campaign worker, professional or amateur, can make use of a large number of cues to achieve his end. He can choose the occasion at which to speak to the other fellow. He can adapt his story to what he presumes to be the other's interests and his ability to understand. If he notices the other is bored, he can change the subject. If he sees that he has aroused resistance, he can retreat, giving the other the satisfaction of a victory, and come back to his point later. If in the course of the discussion he discovers some pet convictions, he can try to tie up his argument with them. He can spot the moments when the other is yielding, and so time his best punches.

Neither radio nor the printed page can do anything of the kind. They must aim their propaganda shots at the whole target instead of just at the center, which represents any particular individual. In propaganda as much as in other things, one man's meat is another man's poison. This may lead to boomerang effects, when arguments aimed at 'average' audiences with 'average' reactions fail with Mr. X. The formal media produced several boomerangs upon people who resented what they read or heard and moved in the opposite direction from that intended. But among 58 respondents who mentioned personal contacts as concretely influential, there was only one boomerang. The flexibility of the face-to-face situation undoubtedly accounted for their absence.

Rewards for compliance

When someone yields to a personal influence in making a vote decision, the reward is immediate and personal. This is not the case in yielding to an argument via print or radio. If a pamphlet argues that voting for the opposite party would be un-American or will jeopardize the future, its warning may sound too remote or improbable. But if a neighbor says the same things, he can 'punish' one immediately for being unimpressed or unyielding: he can look angry or sad, he can leave the room and make his fellow feel isolated. The pamphlet can only intimate or describe future deprivations; the living person can create them at once.

Of course all this makes personal contacts a powerful influence only for some people who do not like to be out of line. There are certainly some people who gain pleasure from being non-conformists, but under normal circumstances they are probably very much in the minority. Whenever propaganda by another person is experienced as an expression of the prevailing group tendencies, it has greater chances of being successful than the formal media because of social rewards. For example, here is a woman who was for Roosevelt until the middle of the campaign: 'I have always been a Democrat and I think Roosevelt has been all right. But my family are all for Willkie. They think he would make the best president and they have been putting the pressure on me.' She finally voted for Willkie. This aspect of personal contacts was especially important for women.

The rewards of compliance to other people are learned in early childhood. The easiest way for most children to avoid discomfort is to do what others tell them to do. Someone who holds no strong opinions on politics and hence makes up his mind late in the campaign may very well be susceptible to personal influences because he has learned as a child to take them as useful guides in unknown territory. The young man who was going to vote for Roosevelt because 'my grandfather will skin me if I don't' is a case in point.

Trust in an intimate source

More people put reliance upon their personal contacts to help them pick out the arguments which are relevant for their own good in political affairs than they do in the more remote and impersonal newspaper and radio. The doubtful voter may feel that the evaluations he reads or hears in a broadcast are plausible, for the expert writer can probably spell out the consequences of voting more clearly than the average citizen. But the voter still wonders whether these are the issues which are really going to affect his own future welfare. Perhaps these sources see the problem from a viewpoint entirely different from his own. But he can trust the judgment and evaluation of the respected people among his associates. Most of them are people with the same status and interests as himself. Their attitudes are more relevant for him than the judgments of an unknown editorial writer. In a formal communication the content can be at its best; but in a face to face contact the transference is most readily achieved. For example, here is the case of a young laborer who professed little or no interest in the campaign and who did not even expect to vote until late October: 'I've been discussing the election with the fellows at the shop and I believe I'll vote, but I haven't decided yet who for.' His constant exposure to the views of his fellow-workers not only brought

him to the ballot booth but also brought out his final Democratic vote in line with his colleagues.

A middle-aged woman who showed great interest in the campaign was undecided until late October and then voted for Willkie: 'I was talking politics just this morning with a friend, a businessman. He says business will improve if Willkie is elected and that Willkie promises to keep us out of the war. FDR is getting too much power. He shouldn't have a third term.' Her friend had apparently run out for her what amounted to a small catalogue of Republican arguments and he was impressive enough to clinch her vote, which had been in the balance throughout the campaign. Her trust in his judgment settled her mind.

Trust in another person's point of view may be due to his prestige as well as to the plausibility of what he has to say or its relevancy to one's interests. It is obvious that in all influences prestige plays a considerable role. The degree of conformity is greater the higher the prestige of the person in our group who seeks to influence us. The plausibility of the consequences he presents will seem greater if he is important. (Of course, the formal media are also important in this respect.) The heightening of trust through the prestige of certain personal contacts was clear in the case of the driver of a bread truck who changed to Willkie because the prominent president of a business firm had done him the honor of persuading him in that direction. Then, too, there is the case of a middle-aged housewife with little education who was for Willkie from May through September, became undecided in October, and finally voted for Roosevelt. She left Willkie because of the statements of people whom she considered authorities: 'I talked with a college student from Case, in Cleveland, and students are for Roosevelt because he has helped recreation. I talked, too, with a man from Chicago who is very interested in politics, and he doesn't seem to think that Willkie is a big enough man to handle international affairs'

Persuasion without conviction

Finally, personal contacts can get a voter to the polls without affecting at all his comprehension of the issues of the election – something the formal media can rarely do. The newspaper or magazine or radio must first be effective in changing attitudes related to the action. There were several clear cases of votes cast not on the issues or even the personalities of the candidates. In fact, they were not really cast for the candidates at all. They were cast, so to speak, for the voters' friends.

'I was taken to the polls by a worker who insisted that I go.'

'The lady where I work wanted me to vote. She took me to the polls and they all voted Republican so I did too.'

In short, personal influence, with all its overtones of personal affection and loyalty, can bring to the polls votes that would otherwise not be cast or would be cast for the opposing party just as readily if some other friend had insisted. They differ from the formal media by persuading uninterested people to vote in a certain way without giving them a substantive reason for their vote. Fully 25% of those who mentioned a personal contact in connection with change of mind failed to give a real issue of the campaign as a reason for the change, but only 5% of those who mentioned the formal media omitted such a reason. When personal influence is paramount in this way, the voter is voting mainly for the personal friend, not the candidate.

Practical implications

In a way the outcome of the election in Erie County is the best evidence for the success of face-to-face contacts. It so happened that for some time the Republican machine in that area worked much more vigorously than its Democratic opponent. When asked whether they knew people who had good ideas about politics, our respondents mentioned considerably more Republican than Democratic local politicians. A few people who did not expect to vote but finally went to the polls mentioned Republican canvassers as the main influence, but we could not trace a similar success for the Democratic machine.

However, one should not identify the personal contacts discussed in this chapter with the efforts of the *professional* political machines. These personal contacts are what one might call *amateur machines* which spring up during elections — individuals who become quite enthusiastic or special groups that try to activate people within their reach. One might almost say that the most successful form of propaganda — especially last-minute propaganda — is to 'surround' the people whose vote decision is still dubious so that the only path left to them is the way to the polling booth. We do not know how the budget of the political parties is distributed among different channels of propaganda but we suspect that the largest part of any propaganda budget is spent on pamphlets, radio time, etc. But our findings suggest the task of finding the best ratio between money spent on formal media and money spent on organizing the face-to-face influences, the local 'molecular pressures' which vitalize the formal media by more personal interpretation and the full richness of personal relationships into the promotion of the causes which are decided upon the course of an election.

In the last analysis, more than anything else people can move other people. From an ethical point of view this is a hopeful aspect in the serious social problem of propaganda. The side which has the more enthusiastic supporters and which can mobilize grass-root support in an expert way has great chances of success.

Note

1 In two respects it is more difficult to get an index of personal exposure as compared with one of radio listening and newspaper reading. One involves a memory factor. Radio speeches are rather distinct events and people are not likely to listen to too many of them. Therefore if they are asked to remember those they have been exposed to, they are bound not to make too many mistakes. With newspapers it is still simpler because we can place the entire paper before them and their recognition is fairly reliable, as we have seen in various studies using this method. But people meet people the whole day long, and it is not nearly so likely that they can remember everything that passed between them in discussion. At least it would first be necessary to do some experimentation with personal contact diaries as suggested in the text.

To this we have to add the element of self-consciousness. If people know that they have to keep a record of what they talked about with other people, they might very well be affected in their selection of topic. Radio diaries have been tested and it seems that keeping such diaries makes people record their radio diet substantially. But this might be due to the fact that radio listening is a much more standardized pursuit; talking with people is much more flexible and might therefore be more affected by a request for systematic recording.

It is hoped that experimentation in this direction will be furthered.